### **UTILITY PATENT APPLICATION TRANSMITTAL** (Small Entity)

(03:137)

Total Pages in this Submission

Docket No.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

### TO THE COMMISSIONER FOR PATENTS

**Mail Stop Patent Application** P.O. Box 1450 Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C.	111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for a	n
invention entitled:		
		Т

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent a invention entitled:	application for an
DISTAL TIP FOR THE POSITIONING OF ARTIFICIAL JOINT STEM	J.S. PTO 3266
and invented by:	387
Toshinobu KATSUYA	031282
If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:	
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:	
Which is a:	
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:	
Which is a:  C ntinuation Divisional Continuation-in-part (CIP) of prior application No.:	
Enclosed are:	
Application Elements	
1. 🗵 Filing fee as calculated and transmitted as described below	
2. Specification having pages and including the following:	
a. 🗵 Descriptive Title of the Invention	
b.   Cross References to Related Applications (if applicable)	
c.   Statement Regarding Federally-sponsored Research/Development (if applicable)	
d.   Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix	C
e. 🗵 Background of the Invention	
f. 🗵 Brief Summary of the Invention	
g.   Brief Description of the Drawings (if filed)	
h. 🗵 Detailed Description	
i. ⊠ Claim(s) as Classified Below	
j. 🗵 Abstract of the Disclosure	
• • • • • • • • • • • • • • • • • • • •	

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3.	X	Dra	wing(s) (when	necessary as prescribe	ed by 35 US	C 113)	
	a.		Formal	Number of Sheets		8	
	b.		Informal	Number of Sheets		8	
4.	$\boxtimes$	Oat	h or Declaratio	n			
	a.	$\boxtimes$	Newly execut	ed (original or copy)	☐ Un	executed	
	b.		Copy from a	orior application (37 CF	R 1.63(d)) (	for continuation/divis	ional application only)
	c.	X	With Power o	f Attorney 🔲 Wit	hout Power	of Attorney	
	d.		Signed staten	F INVENTOR(S) nent attached deleting . 1.63(d)(2) and 1.33(b		named in the prior a	application,
5.		The Box	entire disclosu 45, is consid		ion, from wh	nich a copy of the o	ath or declaration is supplied under panying application and is hereby
6.		CD	ROM or CD-R	in duplicate, large table	e or Comput	er Program (Apper	ndix)
7. 8.				heet (See 37 CFR 1.70 Amino Acid Sequence	•	(if applicable, all n	nust be included)
	a.		Computer Re	adable Form (CFR)			
	b.		Specification	Sequence Listing on:			
			i. 🗆 CD-	ROM or CD-R (2 copie	es); or		
			ii. 🗌 Pap	er			
	c.		Statement(s)	Verifying Identical Pap	er and Com	puter Readable Co	ру
				Accompan	ying Applic	ation Parts	
9.		Ass	ignment Paper	s (cover sheet & docur	ment(s))		
10.		37 (	CFR 3.73(B) St	atement (when there is	s an assigne	e)	
11.		Eng	lish Translation	n Document (if applical	ole)		
12.		Info	rmation Disclo	sure Statement/PTO-1	449 🗆	Copies of IDS Cita	ations
13.	X	Pre	liminary Amend	dment			
14.	X	Ret	urn Receipt Po	stcard (MPEP 503) (SI	nould be spe	ecifically itemized)	
15.	$\boxtimes$	Cer	tified Copy of F	Priority Document(s) (if	foreign prio	rity is claimed)	
16.		Cer	tificate of Maili	ng			
			First Class	☐ Express Mail (Spe	ecify Label I	Vo.):	

**Application Elements (Continued)** 

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was unintentional.

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17.	$\boxtimes$	Applicant claims small entity status. See 37 CFR 1.27.
		☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:
18.	$\boxtimes$	Additional Enclosures (please identify below):
	_	-Certified Copy of Japanese Patent Application 2002-333193 filed on November 18, 2002, upon which priority is claimed -30 pages specification, claims and abstract -Executed Declaration -Fees charged to Deposit Account 07-2100 -Eight (8) Sheets Drawings -Preliminary Amendment
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
19.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice

**Accompanying Application Parts (Continued)** 

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Total Pages in this Submission

#### **Fee Calculation and Transmittal**

		OLAINS A	AS FILED	•	
For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	12	- 20 =	0	x \$9.00	\$0.00
Indep. Claims		- 3 =	0	× \$42.00	\$0.00
Multiple Dependent (	Claims (check if	applicable)	<b></b>		\$0.00
				BASIC FEE	\$385.00
OTHER FEE (specif	y purpose)				\$0.00
				TOTAL FILING FEE	\$385.00
	ne amount of y overpayment.		s filing fee.	D 440 and 447	
<ul><li>☑ Charge the Charge the Charge and Charge the Charge the</li></ul>	ne amount of y overpayment. ny additional filir ne issue fee set i to 37 C.F.R. 1.3	ng fees required in 37 C.F.R. 1.18	under 37 C.F at the mailin —— Re	R. 1.16 and 1.17. g of the Notice of Allowance,  Signature  ONALD E. GREIGG gistration No. 31,517	
<ul><li>☑ Charge the Credit an</li><li>☑ Charge and Charge the pursuant</li></ul>	ne amount of y overpayment. ny additional filir ne issue fee set to 37 C.F.R. 1.3	ng fees required in 37 C.F.R. 1.18	under 37 C.F s at the mailin Re Re Gl	g of the Notice of Allowance,  Signature  ONALD E. GREIGG	